

## **Shared genetic risk factors across carbamazepine-induced hypersensitivity reactions**

Paola Nicoletti MD, PhD<sup>1,2</sup>, Sarah Barrett BSc<sup>3</sup>, Laurence McEvoy BSc<sup>3</sup>, Ann K Daly PhD<sup>4</sup>, Guruprasad Aithal MD, PhD<sup>5</sup>, M. Isabel Lucena MD, PhD<sup>6</sup>, Raul J Andrade MD, PhD<sup>6</sup>, Mia Wadelius MD<sup>7</sup>, Pär Hallberg MD PhD<sup>7</sup>, Camilla Stephens PhD<sup>6</sup>, Einar S Bjornsson MD, PhD<sup>8</sup>, Peter Friedmann<sup>9</sup> FRCP, Kati Kainu<sup>10</sup> MD, PhD, Tarja Laitinen<sup>10</sup> MD, PhD, Anthony Marson MD, FRCP<sup>3</sup>, Mariam Molokhia PhD<sup>11</sup>, Elizabeth Phillips PhD<sup>12</sup>, Werner Pichler MD<sup>13</sup>, Antonino Romano MD<sup>14</sup>, Neil Shear MD<sup>15</sup>, Graeme Sills PhD<sup>3</sup>, Luciana K. Tanno MD PhD<sup>16</sup>, Ashley Sawle PhD<sup>17</sup>, Aris Floratos PhD<sup>17</sup>, Yufeng Shen PhD<sup>17</sup>, Matthew R. Nelson PhD<sup>18</sup>, Paul B. Watkins MD<sup>19</sup>, Mark J Daly PhD<sup>20</sup>, Andrew P Morris PhD<sup>3,21</sup>, Ana Alfirevic MD PhD<sup>3</sup>, Munir Pirmohamed FRCP, PhD<sup>3</sup>

<sup>1</sup>Icahn School of Medicine at Mount Sinai, New York, New York, USA;

<sup>2</sup>Sema4, a Mount Sinai venture, Stamford, Connecticut, USA;

<sup>3</sup>Department of Molecular and Clinical Pharmacology, University of Liverpool, Liverpool, UK;

<sup>4</sup>Institute of Cellular Medicine, Newcastle University, Newcastle upon Tyne, UK;

<sup>5</sup>National Institute for Health Research (NIHR) Nottingham Biomedical Research Unit, Center at the Nottingham University Hospital NHS Trust and University of Nottingham, Nottingham, UK;

<sup>6</sup>UGC Digestivo, Clinical Pharmacology Service, Instituto de Investigación Biomédica de Málaga (IBIMA), Hospital Universitario Virgen de la Victoria, Universidad de Málaga, Málaga, Spain; Centro de Investigación Biomédica en Red de Enfermedades Hepáticas y Digestivas (CIBERehd);

<sup>7</sup>Department of Medical Sciences and Science for Life Laboratory, Uppsala University, Uppsala, Sweden;

<sup>8</sup>Department of Internal Medicine, Landspítali University Hospital, Reykjavik, Iceland;

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<sup>9</sup>Dermatology Unit. School of Medicine, University of Southampton, Southampton, UK;

<sup>10</sup>Clinical Research Unit for Pulmonary Diseases, Helsinki University Central Hospital, Helsinki, Finland;

<sup>11</sup>School of Population Sciences and Health Services Research, King's College, London, UK;

<sup>12</sup>Department of Medicine, Vanderbilt University Medical Center, Nashville, TN, USA;

<sup>13</sup>ADR-AC GmbH, Holligenstr 91, 3008 Bern Switzerland;

<sup>14</sup>Allergy Unit, Complesso Integrato Columbus, Rome, Italy;

<sup>15</sup>Sunnybrook Health Sciences Centre and University of Toronto, Toronto, Canada;

<sup>16</sup>Hospital Sírio Libanês, São Paulo, Brazil;

<sup>17</sup>Department of Systems Biology, Columbia University, New York, USA;

<sup>18</sup>Target Sciences, GSK, King of Prussia, Pennsylvania;

<sup>19</sup>University of North Carolina Institute for Drug Safety Sciences, Eshelman School of Pharmacy, North Carolina, USA;

<sup>20</sup>Analytic and Translational Genetics Unit, Department of Medicine, Massachusetts General Hospital and Harvard Medical School, Boston, USA;

<sup>21</sup>Department of Biostatistics, University of Liverpool, Liverpool, UK

**Author for correspondence:** Prof Munir Pirmohamed, The Wolfson Centre for Personalised Medicine, Institute of Translational Medicine, University of Liverpool, Block A: Waterhouse Building, 1-5 Brownlow Street, Liverpool, L69 3GL, phone: 0044 151 794 5549 E-mail: munirp@liverpool.ac.uk

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## Abstract

Carbamazepine (CBZ) causes life-threatening T-cell mediated hypersensitivity reactions (CBZ-HRs) including serious cutaneous adverse reactions (SCAR) and liver injury (CBZ-DILI). In order to evaluate shared or phenotype-specific genetic predisposing factors for CBZ-HRs, we performed a meta-analysis of two GWAS studies on a total of 43 well-phenotyped Northern and Southern European CBZ-SCAR cases and 10,701 population controls and a GWAS on 12 CBZ-DILI cases and 8,438 ethnically-matched population controls. *HLA-A\*31:01* was identified as the strongest genetic predisposing factor for both CBZ-SCAR (OR= 8.0; 95% CI 4.10-15.80;  $P=1.2\times10^{-9}$ ) and CBZ-DILI (OR=7.3; 95% CI 2.47-23.67;  $P=0.0004$ ) in European populations. The association with *HLA-A\*31:01* in SCAR patients was mainly driven by hypersensitivity syndrome (OR = 12.9;  $P=2.1\times10^{-9}$ ) rather than by Stevens-Johnson Syndrome/toxic

epidermal necrolysis (SJS/TEN) cases which showed an association with *HLA-B\*57:01*.

We also identified a novel risk locus mapping to *ALK* only for CBZ-SCAR cases which needs replication in additional cohorts and functional evaluation.

## Introduction

Carbamazepine (CBZ) is prescribed for epilepsy, trigeminal neuralgia and bipolar disorder(1). In 3-10% of patients, CBZ causes a variety of hypersensitivity reactions(2), ranging from mild maculopapular exanthemas to hypersensitivity syndrome (known as DRESS), acute generalized exanthematous pustulosis (AGEP), Stevens–Johnson syndrome (SJS), and toxic epidermal necrolysis (TEN); the latter four phenotypes are referred to as serious cutaneous adverse reactions (SCAR). CBZ can also lead to liver injury which can occur either as part of DRESS or in isolation (the latter is referred to as CBZ-DILI in this paper)(3, 4). These unpredictable clinical phenotypes are T-cell-mediated, where CBZ and/or its metabolites bind specific HLA molecules triggering a T-cell response(5).

CBZ-induced SJS/TEN is strongly associated with *HLA-B\*15:02* in Han Chinese, Thais and Malays(6), while *HLA-A\*24:02* has also recently been identified as a risk factor in Han Chinese(7). *HLA-A\*31:01* is associated with a variety of CBZ-SCAR phenotypes and maculopapular exanthema in Japanese(8), Korean(9) and European-descent populations(10). Several other HLA loci have been suggested as susceptibility loci including *HLA-A\*02:06*(8), *HLA-B\*15:11* (11) and *HLA-B\*51:01*(12-14), but the findings

have not always been replicated (15). Currently, we have limited knowledge of susceptibility loci for CBZ-DILI.

The purpose of our study was to perform a meta-analysis between our previously published CBZ hypersensitivity cohort (10) and a newly recruited cohort in order to increase study power, and further investigate (i) novel risk loci within and outside the HLA region; and (ii) the role of *HLA-A\*31:01* stratifying by European subpopulations and clinical phenotypes including SCAR and DILI.

## Results

### ***Case collection and population structure***

Our meta-analysis included a total of 43 CBZ-SCAR cases and 10,701 population controls from two GWAS studies: British GWAS (14 cases and 2,263 controls) and broadly-European GWAS (29 SCAR cases and 8,438 controls). The British GWAS included Northern European patients from the UK, which we have reported previously (10). The broadly-European GWAS included a newly recruited set of CBZ-SCAR cases with different clinical phenotypes (Table 1) and European ancestries (Figure S1). The inferred population structure of the combined cohorts is shown in Figure 1. A summary of the clinical characteristics of the SCAR cases is provided in Table 1. We analyzed 25 patients with DRESS, 16 patients with Stevens-Johnson Syndrome/toxic epidermal necrolysis (SJS/TEN) and 2 cases with acute generalized

exanthematous pustulosis (AGEP). The average age of patients at the time of adverse reaction was 34 years in both study phases. Nearly two thirds (63%) of cases were female.

Separately, we recruited 12 CBZ-DILI cases of European descent (Figure 1). The type of liver injury in these patients was 50% hepatocellular and 50% cholestatic/mixed. None of them had cutaneous involvement or eosinophilia. Their clinical characteristics are also provided in Table 1. CBZ-DILI cases were compared to the 8,438 controls from the broadly-European cohort.

### ***Meta-analysis of CBZ-SCAR***

After quality control of the imputed data, we retained 5,271,349 SNPs for association analyses. Our meta-analysis identified two loci attaining genome-wide significance ( $P < 5 \times 10^{-8}$ ):

- The strongest association signal mapped to the MHC (lead SNP rs192543598, OR=18.1, 95% CI 8.03-40.90,  $P=1.7 \times 10^{-12}$ ,  $P_{\text{perm}} < 5 \times 10^{-8}$ ; Tables 2 and S1 and Figures 2 and S2), consistent with our previous result(10).
- A novel association signal mapping to the *ALK* gene was observed outside the MHC (lead SNP rs187926838, OR=12.1 95% CI [4.94-29.80]  $P=4.9 \times 10^{-8}$ ,  $P_{\text{perm}} = 1 \times 10^{-7}$ , Table 2 and Figure S3). The intronic lead SNP showed a consistent directional effect in both GWASs and was carried by 6% of the cases. The imputation for rs187926838 had high accuracy across the imputation batches (info score >0.9). The frequency of rs187926838 in our control population was

equal to the allele frequency reported in Europeans in gnomad (<http://gnomad.broadinstitute.org/>) and similar across platforms (Table 1 and Table S1), confirming the accuracy of the predicted genotypes. rs187926838 showed a similar frequency in the SANAD cohort (2) which has been exposed to a number of antiepileptic drugs including CBZ (AF = 0.005).

### ***Dissection of the MHC CBZ-SCAR association signal***

The lead SNP representing the MHC CBZ-SCAR association signal, rs192543598, was in strong linkage disequilibrium (LD) with *HLA-A\*31:01* ( $r^2 = 0.75$ ). The HLA class I allele also reached genome-wide significance in the meta-analysis (OR=8.0, 95% CI [4.10-15.80],  $P=2.2 \times 10^{-9}$ ,  $P_{\text{perm}} < 5 \times 10^{-8}$ , Table 3 and Table S2). The phase 2 GWAS showed a MHC-wide *HLA-A\*31:01* association, replicating the previously reported signal.

The *HLA-A\*31:01* association was less significant in the broadly-European cases than in the British cases probably because of greater heterogeneity in their geographic origin (Figure 1). A lower allele frequency was observed in the Spanish cases (6%) compared to other ethnic groups (15% in Northern European and 13% Italian cases) despite an equivalent frequency in the three control groups. However, the effect of *HLA-A\*31:01* was conserved in all European populations (OR<sub>Italian</sub>=8.7, OR<sub>Spanish</sub>=3.66, OR<sub>North Europeans</sub>=5.51). There were no differences in allele frequencies of the GWAS variants in control groups despite the different genotyping arrays used.



Reciprocal conditional analyses demonstrated that rs192543598 and *HLA-A\*31:01* represent the same underlying association signal (Table S2). After conditioning on the lead SNP, rs192543598, we observed some evidence for residual association (at locus-wide significance,  $P < 10^{-5}$ ) with CBZ-SCAR, mapping to *MUC22* (lead SNP rs116071718, OR=4.0, 95% CI [2.25-7.05],  $P = 1.5 \times 10^{-6}$ , Table S2 and Figure S4). After conditioning on both rs192543598 and rs116071718, the association signal with CBZ-SCAR in the MHC was fully accounted for (Figure S4).

Marginal associations were also identified with *HLA-B\*51:01* and *HLA-C\*15:02* (Table 3). These HLA alleles along with *HLA-A\*31:01* constitute an uncommon haplotype present in European descent individuals (<http://www.allelefreqencies.net>). Joint carriage of the three alleles had a stronger signal compared to *HLA-A\*31:01* alone (OR=22.1,  $P = 2.4 \times 10^{-6}$  vs OR=5.7,  $P = 6.6 \times 10^{-6}$ , Table S3).

### ***Assessment of polymorphic amino acid residues in HLA molecules***

Predisposition to CBZ-SCAR may be related to the same essential amino acid residues in different HLA alleles. Therefore, we performed an aggregated investigation by analyzing the polymorphic amino-acid residues at these proteins. The strongest association was observed for isoleucine<sup>73</sup> on the *HLA-A* locus (OR=5.5, 95% CI 2.94-10.40,  $P = 1.4 \times 10^{-7}$ ), but could not better explain the CBZ-SCAR association than *HLA-A\*31:01*. The AA is shared by the A\*33 and A\*31 alleles (16). Conditional analysis to isoleucine<sup>73</sup> or to *HLA-A\*31:01* revealed that isoleucine<sup>80</sup> in

the B locus is a new independent factor, and it is shared with *HLA-B\*57:01* among other HLA B alleles(16). After conditioning on both the sites, the residual independent association signal was still accounted for by rs116071718 in the *MUC22* gene (Table S4). These AA associations have not previously been found to be implicated in genetic predisposition to other ADRs.

### **GWAS of CBZ-SCAR clinical subtypes**

We stratified the CBZ-SCAR cases by clinical phenotypes (AGEP, DRESS and SJS/TEN, see Materials and Methods). The AGEP analysis was not performed since we had only two cases, although it is worth noting that one of the two cases was positive for *HLA-A\*31:01*.

In the CBZ-DRESS subgroup, *HLA-A\*31:01* and its proxy SNP, rs192543598, reached genome-wide significance ( $P_{HLA-A*31:01}=2.1\times 10^{-9}$  and  $P_{rs192543598}=2.4\times 10^{-13}$ ). Consistent with the literature (17), *HLA-A\*31:01* showed a stronger effect with CBZ-DRESS than with SJS/TEN (OR=12 vs OR=2.5, respectively, Table 4) with no significant association in the latter group. There was no other phenotype-specific genome-wide significant association for both DRESS and SJS-TEN (Figure S5).

The effect of rs187926838 in the *ALK* gene was conserved among the CBZ-SCAR clinical subtypes (Table S5). We also found that the SJS/TEN phenotype showed a MHC-region-wide significant association with *HLA-B\*57:01* (OR=6.2, 95% CI 2.47-

15.37,  $P=9.9 \times 10^{-5}$ ). *HLA-B\*57:01* was in strong LD ( $r^2=0.8$ ) with the most highly associated SNP in the MHC region (rs116347890, OR=11.0, 95%CI 4.62-26.42,  $P=6.6 \times 10^{-8}$ ) but was independent of *HLA-A\*31:01* (OR=6.4, 95% CI 2.55-16.11,  $P=7.6 \times 10^{-5}$ , after conditioning on *HLA-A\*31:01*). The polymorphic amino-acid position 97 showed an association when valine was present (OR=6.0, 95% CI [2.45-15.18],  $P=0.0001$ ). Valine<sup>97</sup> is specific for *HLA-B\*57:01*, *HLA-B\*57:02* and *HLA-B\*57:03* and other very rare B57 alleles(16).

### **GWAS of CBZ-DILI**

GWAS analysis of 12 European CBZ-DILI cases against 8,438 European controls did not identify a genome-wide association because of limited power. However, 33% of cases were *HLA-A\*31:01* carriers (OR=7.3, 95% CI 2.47-23.67,  $P=0.0004$ ), although the OR was less than that seen with DRESS (OR = 12.9). Our prediction of the association with *HLA-A\*31:01* was fully confirmed by HLA sequencing in 11 cases (DNA was no longer available in one patient). CBZ-DILI cases did not show an association with rs187926838 (in the *ALK* gene), distinct from that seen with CBZ-SCAR cases (Table S5). The most significant amino-acid residues associated with CBZ-DILI were isoleucine<sup>73</sup> (OR=7.29, 95% CI 2.578-20.6,  $P=0.0002$ ) and threonine<sup>9</sup> (OR=5.5, 95% CI 2.31-13.14,  $P=0.0001$ ) at the *HLA-A* locus. The two residues were in LD ( $P_{73I \text{ conditional to } 9T} = 0.2$ ). Moreover, there was enrichment of *HLA-A\*31:01* carriers in the 10 CBZ-SCAR patients with liver involvement compared to the remaining cases (25% vs 12%, respectively).

Taking all the cases of CBZ-induced hypersensitivity reactions (SCAR and DILI) together, the risk of developing either DILI or SCAR when given CBZ was 8-fold higher in cases carrying *HLA-A\*31:01* (OR=8.2, 95% CI 4.56-14.66,  $P=1.8 \times 10^{-12}$ , Table 4).

## Discussion

In the current study, we show that *HLA-A\*31:01* is the strongest predictor of CBZ-SCAR in a European-ancestry population, extending our previous study in Northern Europeans(10) to include Southern Europeans. In addition, we also show that (a) *HLA-A\*31:01* seems to predispose to CBZ-induced liver injury; and (b) a variant in the *ALK* gene was associated with an increased risk of CBZ-SCAR, but not CBZ-DILI.

Our finding of the association between CBZ-SCAR and *HLA-A\*31:01*, initially reported in 2011(10), has also been reported by Amstutz *et al.* in a multiethnic North American pediatric cohort(18) and by Genin *et al* in a European SCAR cohort(17). In our study, *HLA-A\*31:01* was a stronger risk factor for DRESS than SJS/TEN, in line with Genin *et al*(17) (OR=57.6 vs OR=4.4, respectively) and Amstutz *et al.* (OR=31.5 vs OR=2.8, respectively)(18), but not with our original study (OR=12 vs OR=25 respectively)(10) where numbers were much smaller. It is likely that *HLA-A\*31:01* is the most important shared risk factor across CBZ hypersensitivity phenotypes, with the strongest predisposition being to DRESS. Indeed, our meta-analysis shows that *HLA-A\*31:01* carriers have eight fold higher risk of developing CBZ-SCAR than non-

carriers. Interestingly, a recent prospective study in Japan was able to show that pre-prescription genotyping for *HLA-A\*31:01* reduces the incidence of CBZ hypersensitivity reactions(19). Taken together, the overwhelming evidence of the role of *HLA-A\*31:01* in predisposing to CBZ-SCAR shows that there is a need to implement its use in clinical settings, as outlined in the recent CPIC guideline(20). Independent of the *HLA-A\*31:01* association, we identified a residual effect in the MHC region mapping to *MUC22* gene (lead SNP rs116071718). *MUC22* codes for panbronchiolitis-related mucin-like protein 1 and has been associated with SJS/TEN caused by a variety of drugs including CBZ in European patients(21). Its role in SCAR needs further investigation. We also found that *HLA-B\*51:01* was the second most significant HLA allele. *HLA-B\*51:01* has already been reported to be marginally associated with CBZ-SCAR(12-14) and more recently with SCAR due to other drugs(22). *HLA-B\*51:01* together with *HLA-A\*31:01* and *HLA-C\*15:02* constitute a haplotype which has a larger OR than each single allele (Table S3). This is an interesting finding which suggests that *in vivo*, the T cell response to CBZ-derived antigens requires cooperativity between different HLA alleles, as we have previously demonstrated in an *HLA-A\*31:01* positive patient(23).

We also identified an association between CBZ-induced SJS/TEN and *HLA-B\*57:01*. This allele is a well-known risk factor for other CD8<sup>+</sup> T-cell mediated reactions including abacavir hypersensitivity syndrome (24, 25) and flucloxacillin-induced DILI(26), and more recently, DILI induced by two other drug combinations, pazopanib and a combination of anti-tuberculosis and anti-HIV drugs(27, 28). Given that the

association with CBZ-induced SJS/TEN was not genome-wide significant, it needs replication in other cohorts. Interestingly, our finding of the association of valine<sup>97</sup> with CBZ-SJS/TEN is in line with the association of valine<sup>97</sup> with flucloxacillin-DILI (29), suggesting that the binding site of *HLA-B\*57:01* may be promiscuous for a number of drugs, which would be in keeping with the increasing number of reports of immune-mediated reactions associated with this allele.

We have for the first time evaluated whether *HLA-A\*31:01* is a risk factor for DILI. Taking all cases of DILI into account, the carriage rate of *HLA-A\*31:01* was 33% with an OR of 7, higher than that found in association of HLA class II alleles with lumiracoxib-induced DILI(30). Interestingly, CBZ-SCAR and CBZ-DILI also shared the most significant AA, isoleucine<sup>73</sup>. Isoleucine<sup>73</sup> is a cryptic epitope specific for A31 and A33 antigens (31). Position 73 is not normally exposed. When the antigen changes its conformation and  $\beta$ 2m and peptide dissociate from the heavy chain, isoleucine<sup>73</sup> is externalized with the potential to lead to an autoimmune reaction (32). Interestingly, *HLA-A\*33:01* and *HLA-A\*33:03* have recently been associated with DILI due to several unrelated drugs (33). The association with *HLA-A\*31:01* however was not genome-wide significant which may reflect the small numbers studied. It may also reflect that the mechanism of antigen presentation (be it the parent drug or metabolite) differs between skin and liver given the major role of the liver in drug metabolism, and its ability to form chemically reactive intermediates(34). Further work in larger numbers of patients with CBZ-DILI, together with mechanistic studies, will be needed to understand the role of drug/metabolites as antigens in the context of different drug

metabolizing and antigen presentation capabilities, and indeed, whether these differences are responsible for the remarkable organ-specificity of the reactions, and their severity, seen in different patients.

A tantalizing association that we have identified in our meta-analysis (which did not pass genome-wide significance after permutation), which was observed in the SCAR but not in the DILI cases, was with uncommon variants in the *ALK* gene which codes for the anaplastic lymphoma kinase gene. Somatic mutations in the *ALK* gene have been identified in different cancers(35), including lung cancer, which has led to the development of ALK-inhibitors for therapy. The product of the *ALK* gene, a receptor tyrosine kinase belonging to the insulin receptor family, seems to be important for the balance between proliferation and apoptosis(36). Furthermore, the associated region falls within a keratinocyte-specific predicted insulator (Figure S6). Given that ALK is important in cellular proliferation and cell death, and shows ubiquitous tissue distribution (<http://www.proteinatlas.org/ENSG00000171094-ALK/tissue>), it may have an important role in T-cell proliferation and keratinocyte death, both important in the pathogenesis of SCAR.

In conclusion, we have provided further data regarding genetic factors predisposing to different CBZ adverse reaction phenotypes which are thought to have an immune pathogenesis, namely SCAR and DILI. We have extended our studies to include Southern Europeans in addition to our previous study in Northern Europeans(10), and included an analysis of CBZ-DILI. We have also identified novel associations with

the ALK and MUC22 genes, which require further validation and experimental investigation to determine the mechanisms. It is possible that there are also other genetic factors outside the MHC region, but we may not have had the statistical power to detect them in this study. It is therefore important that further work also involves a trans-ethnic meta-analysis of the GWAS in diverse populations, which have been undertaken to date, to fully assess differences in the genetic architecture of CBZ adverse reactions between ancestries and more precisely localize the underlying causal alleles.

## **Methods**

### ***Study design overview***

Our study combines results from two GWAS conducted in subjects with ancestry from Northern Europe, Italy and Spain. All participants provided written informed consent and each study was approved by the appropriate national or institutional ethical review boards. Since the reactions has a very low prevalence, we used general population samples as study controls.

### ***British CBZ-SCAR GWAS***

The study included 14 cases of CBZ-hypersensitivity of Northern European descent of out the 21 recruited at the University of Liverpool as described by McCormack *et*



al(10). A total of 2,263 population controls of Northern European descent from the Wellcome Trust Case Control Consortium (WTCCC) were utilized. The other Liverpool samples (n=7) originally described were excluded either because of population stratification issues or because 2 patients had DILI rather than skin involvement (and so have been included in the liver analysis).

### ***Broadly-European CBZ-SCAR GWAS***

The study included a total of CBZ-SCAR 29 samples obtained from two available sources: 3 cases were from the PGX40001 study(37) and 26 were newly recruited between 2009 and 2013 as part of ITCH. The ITCH study was run under the auspices of the International Serious Adverse Event Consortium, involving 12 recruitment centers in Europe, Australia and South America. Clinical inclusion criteria for all ITCH related SCAR cases were as described by our previous phenotype standardization protocol for drug-induced skin injury(38). All patient phenotypes were independently adjudicated by NS and PF. Further clinical details are provided in Table 1.

For this group, a total of 8,438 population controls of European descent were selected from the WTCCC (not overlapping with samples from the British cohort), the population reference sample (POPRES)(39), the PGX40001 study(37), the Spanish Bladder Cohort (dbGaP phs000346.v1)(40), the Hypergenes cohort (<http://www.hypergenes.eu>), the National Spanish DNA Bank cohort (<http://www.bancoadn.org/>), the Swedish Twin Registry cohort

(<http://ki.se/en/research/the-swedish-twin-registry>), LAM30004 study(37), TSI (Hapmap data) and the iSAEC Italian Penicillin Tolerant Cohort (IPTC).

### ***Broadly-European CBZ-DILI GWAS***

As part of the phase 2 study, we also collected 12 European descent CBZ-DILI cases, where the liver was involved by itself. The cases were recruited from two large international DILI consortia: iDILIC and DILIN(33), while two other cases were as described previously(10). The iDILIC cases were evaluated by application of the Council for International Organizations of Medical Science (CIOMS) scale, also called the Roussel Uclaf Causality Assessment Method (RUCAM) and by expert review by a panel of three hepatologists. Only cases having at least possible causality (score  $\geq 3$ ) were included in the study(33). DILIN causality assessment is determined by a panel of three hepatologists who independently assign a causality score ranging from 1 (definite) to 5 (unlikely) as well as a severity score ranging from 1 (mild) to 5 (fatal) as previously described(33). Beside the DILI phenotype, DILIN and iDILIC also collected additional clinical information including the occurrence of eosinophilia and cutaneous involvement. The cases were compared with the 8,438 phase 2 population controls of European descent.

### ***Genotyping of cases and controls***

Out of the cumulative 43 CBZ-SCAR cases, 3 PGX40001 cases were previously genotyped with Illumina 1M Duo chip(41). DNA from the rest of British and broadly-European cases was extracted from whole blood and stored in the Wolfson Centre for Personalized Medicine in Liverpool. Genome-wide genotyping was profiled by the Illumina Infinium HumanCoreExome Bead Chip for 16 cases and by Illumina HumanOmniExpress Bead Chip for 24 cases at the Broad Institute, Boston. Among the CBZ-DILI cases, 3 cases were previously genotyped with Illumina 1M Duo chip while 2 cases were newly profiled by HumanCoreExome Bead Chip and 7 cases by HumanOmniExpress Bead Chip at the Broad Institute, Boston(33). A total of 10,701 previously genotyped population controls were cumulatively used in British and broadly-European cohorts. Information about the genotyping platform used by each of the control cohorts is reported in Table S6. For each of the sample batches (defined as a set of subjects - either cases or controls - genotyped together by the same array), quality control was conducted at both SNP and subject levels before performing the imputation as previously described(41). Analysis of population structure was performed by the EIGENSTRAT package (version 3.0)(42). Pre-phasing and imputation were performed in batches by dividing the cases and controls according to genotyping platform, using SHAPEIT (version v2.r727)(43) and IMPUTE2 (version 3)(44) with 1000 Genomes Project (release v3) as reference(43). For

downstream analysis, we used best-guess genotypes retaining imputed genotypes with posterior probability > 0.9. Detailed methods are outlined in the Supplementary Materials.

### ***Association analysis and meta-analysis***

We tested for association of each SNP with CBZ-SCAR, separately in British and broadly-European GWAS, in a logistic regression framework, under an additive genetic model, with adjustment for the principal components from smartPCA to account for population structure using using PLINK v 1.07(45). No other additional covariates were included in the model since we did not have clinical information for controls. Association summary statistics from the two phases were combined using effective sample size weighted Z-score fixed-effects meta-analysis, implemented in METAL(46). Allelic ORs across the two phases were obtained through inverse-variance weighting of effect sizes, with heterogeneity assessed with Cochran's Q statistic(47), implemented in METAL. We reported only those SNPs that attained, in addition to genome-wide significance, nominal evidence of association ( $P < 0.05$ ) with the same direction of effect on CBZ-SCAR in both GWAS phases (internal validation). Further, we tested for association of each SNP with CBZ-SCAR clinical subtypes: SJS/TEN in phase 2 GWAS and DRESS across both phases in the same meta-analysis framework. We also tested for association of each SNP with CBZ-DILI in phase 2 GWAS, in a logistic regression framework, under an additive genetic model, with

adjustment for the principal components to account for population structure. Genome-wide significance was defined using a common threshold of  $P < 5 \times 10^{-8}$ . To account for the small samples size and the disproportionate case/control ratio, we applied a permutation approach for genome-wide significant signals. In particular, we randomly permuted genotypes amongst individuals within the same phase of the design, tested for association and then meta-analysed. We applied  $5 \times 10^8$  permutations to demonstrate genome-wide significance. All detailed analyses and Manhattan plots were performed with R (Version 3.0.2)(14).

### ***HLA imputation, genotyping and analysis***

For each batch, HLA alleles were inferred using HIBAG(48) using the reference predictor panels specific for the genotyping chip. Amino acid (AA) changes were inferred by SNP2HLA using reference data collected by the Type 1 Diabetes Genetics Consortium (T1DGC)(49). We tested for association of carriage of each allele/AA/specific HLA haplotypes with CBZ-SCAR, CBZ-SCAR subtypes and CBZ-DILI using the same methods described above. MHC significance was defined using the Bonferroni correction threshold of  $P < 0.00025$  accounting for 200 observed HLA alleles across loci ( $0.05/200$ ). Conditional analyses in the MHC region were undertaken and the genotypes at the conditioning SNP(s) were included as covariates under an additive model. Fixed-effects meta-analyses across the two phases of GWAS were performed using the methods described above for

unconditional analyses. High resolution genotyping of HLA loci was performed on all DILI cases by Histogenetics (Ossining, New York) as previously described (33).

## **Study Highlights**

### ***What is the current knowledge on the topic?***

Carbamazepine (CBZ) is associated with serious, and sometimes fatal, cutaneous and liver adverse reactions. Genome wide profiling has shown that these predisposing factors largely reside in the HLA region (*HLA-B\*15:02* and *HLA-A\*31:01*) consistent with the immune pathogenesis.

### ***What question did this study address?***

What are the genetic predisposing factors in Northern and Southern European populations for carbamazepine-induced hypersensitivity reactions affecting both the skin and liver?

### ***What does this study add to our knowledge?***

Genetic profiling confirmed that *HLA-A\*31:01* predisposes to serious cutaneous adverse reactions (SCAR) in both Northern and Southern European populations. *HLA-A\*31:01* also seems to be predispose to carbamazepine-induced liver injury. In addition, an uncommon variant in the *ALK* gene was associated with an increased risk of CBZ-SCAR.

### ***How might this change clinical pharmacology or translational science?***

Our study adds to the overwhelming evidence of the role of *HLA-A\*31:01* in predisposing to a variety of CBZ hypersensitivity phenotypes, and highlights the need to implement its pre-prescription and pre-emptive use in clinical settings.

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### **Author Contributions**

P.N., A.D., M.R.N., G.A., A.P.M., A.A., and M.P. wrote the manuscript; P.N., A.P.M., M.R.N., M.J.D., A.D., G.A., Y.S., A.A., and M.P. designed the research; P.N., A.S., and A.P.M. analyzed the data; M.P., A.D., P.B.W., S.B., L.M., G.A., M.I.L., R.J.A., M.W., P.H., C.S., E.S.B., P.F., K.K., T.L., A.M., M.M., E.P., W.P., A.R., N.S., G.S., L.K.T., and A.F. contributed new reagents/analytical tools.

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## Figures

### **Figure 1 Scatterplot representing the first two principal components of the current study cohort.**

Colored dots are the cases divided by clinical phenotypes and the grey dots are the controls. The controls cluster in four groups representing the Italian, Spanish, UK and Swedish major control populations.

### **Figure 2 Manhattan plot displaying the results of the meta-analysis of British and broadly-European CBZ-SCAR GWAS analysis.** SNPs in red have a significance level less than $5 \times 10^{-8}$ .

## Supplementary Materials

*(Supplemental Material)*

Supplementary Methods, Figures, and Tables

<b>Table 1: Clinical information of the CBZ-SCAR and CBZ-DIRI cases included in the study<sup>&amp;</sup></b>			
<b>Phenotype</b>	<b>Category</b>	<b>Phase I cases</b>	<b>Phase II cases</b>
<b>Serious cutaneous reaction (SCAR)</b>	<b>Total number of cases</b>	14	29
	Age at the onset in years (mean)	34	34
	Gender (% female)	43%	66%
	Allergy (% yes)	36%	24%
	<b>Clinical subphenotypes</b>		
	Acute Generalized Exanthematous Pustulosis (AGEP)	1	1
	Hypersensitivity syndrome (DRESS)	13	12
	Stevens-Johnson Syndrome (SJS)	0	5
	Stevens-Johnson Syndrome/ Toxic epidermal necrolysis (SJS/TEN)	0	10
	Toxic epidermal necrolysis (TEN)	0	1
	<b>Systemic Symptoms</b>		
	Eosinophilia	6	6
	Liver involvement	0	10
	Fever	13	22
	Pneumonitis	2	0
	Multi-organ failure, death	1	0
	<b>Additional evidences for the diagnosis</b>		
	Skin biopsy	1	8
	Skin patch testing performed	1	5
	Multiple drug-induced skin reactions	3	1
<b>Drug induced liver injury</b>	<b>Total number of cases</b>	0	12
	Age at the onset in years (mean)	-	37.6
	Gender (% female)	-	67%
	<b>Pattern of liver injury</b>		
	Cholestatic	-	3
	mixed	-	2
	Hepatocellular	-	5
	Unknown	-	2
	<b>Systemic Symptoms</b>		
	eosinophilia	-	0
	cutaneous rashes	-	0
	<b>RUCAM score*</b>		
	3-5 (possible)	-	2
	6-8 (probable)	-	6
	>8 (highly probable)	-	2

<sup>&</sup>Each patient can have more than one clinical characteristic; therefore, the numbers do not add up to the number of patients in Phase I and II of the study. DRESS – drug reaction with eosinophilia and systemic symptoms.

\*RUCAM scores were not possible for two patients recruited previously in the study of McCormack et al[10] because of the lack availability of all clinical information. Causality had been undertaken using temporal relationship to drug intake and exclusion of other causes.

**Table 2:** Loci attaining genome-wide significant evidence of association ( $p < 5 \times 10^{-8}$ ) with carbamazepine hypersensitivity in a combined meta-analysis of 43 cases and 10701 controls of European ancestry

Locus	Lead SNP	Chr	Position	Alleles		Phase 1		Phase 2		Phase 2		Combined meta-analysis			
				Risk	Other	OR (95% CI)	p-value	OR (95% CI)	p-value	OR (95% CI)	p-value	Cochran's Q p-value	Frequency in controls	Gnomad	
MHC	rs192543598	6	29,931,345	G	A	127 (28.9-560)	$1.5 \times 10^{-10}$	7.8 (2.95-20.7)	$3.5 \times 10^{-5}$	18.1 (8.03-40.88)	$1.7 \times 10^{-12}$	0.002	0.01	0.02	
ALK	rs187926838	2	29,818,291	G	A	15.3 (2.95-79.7)	0.001	11.0 (3.77-32.1)	$1.1 \times 10^{-5}$	12.1 (4.94-29.80)	$4.9 \times 10^{-8}$	0.74	0.007	0.008	

**Table 3:** Imputed HLA alleles attaining nominal evidence of association ( $p < 0.01$ ) with carbamazepine hypersensitivity in combined meta-analysis of 43 cases and 10701 controls of European ancestry

HLA allele	Phase 1		Phase 2		Combined meta-analysis			
	OR (95% CI)	<i>P</i>	OR (95% CI)	<i>P</i>	OR (95% CI)	<i>P</i>	Cochran's Q <i>p</i> -value	Frequency in controls
<i>HLA-A*31:01</i>	16.9 (5.40-52.40)	$1.0 \times 10^{-6}$	5.3 (2.29-12.40)	$9.9 \times 10^{-5}$	8.0 (4.10-15.80)	$2.2 \times 10^{-9}$	0.11	0.02
<i>HLA-B*51:01</i>	2.8 (0.80-9.60)	0.10	3.7 (1.87-7.28)	0.0002	3.5 (1.91-6.27)	$5.6 \times 10^{-5}$	0.70	0.06
<i>HLA-C*15:02</i>	2.1 (0.23-16.5)	0.47	4.1 (1.74-9.92)	0.001	3.7 (1.68-8.35)	0.002	0.56	0.02
<i>HLA-DPB1*09:01</i>	4.9 (0.70-35.60)	0.12	4.5 (1.17-17.60)	0.03	4.6 (1.51-14.20)	0.007	0.96	0.009

**Table 4:** Association effect size of *HLA-A\*31:01* across different clinical phenotypes

Clinical groups	N	Odds ratio (95% CI)	P	Allele frequency in cases	Allele frequency in controls
Stevens-Johnson Syndrome/Toxic epidermal necrolysis (SJS/TEN)	1 6	2.4 (0.55-10.59)	0.2	0.06	0.02
Drug reaction with eosinophilia and systemic symptoms (DRESS)	2 5	12.9 (5.58-29.78)	$2.1 \times 10^{-9}$	0.14	0.02
Drug-induced liver injury (DILI)	1 2	7.3 (2.47-23.67)	0.0004	0.17	0.02
All clinical phenotypes (SCAR and DILI)	5 5	8.2 (4.56-14.66)	$1.8 \times 10^{-12}$	0.14	0.02

OR = Odds Ratio; 95%CI = Confidence Intervals





